



RG 3747



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF
BIESS et al.

Confirmation No.: 6062

Appln. No.: 09/773,072

Group Art Unit: 3747

Filed: January 31, 2001

Examiner: Hyder ALI

Title: SYSTEM AND METHOD FOR SUPPLYING AUXILIARY POWER TO A LARGE
DIESEL ENGINE

July 19, 2002

* * * * *

PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Hon. Commissioner of Patents
Washington, D.C. 20231

RECEIVED

JUL 24 2002

TECHNOLOGY CENTER R3700

Sir:

It is respectfully requested that the inventorship of the above-captioned application be amended to add **Christer Gotmalm** as an inventor, who was omitted from the inventorship of the executed §1.63 declaration and assignment without any deceptive intention on their part.

As a result of these corrections, the inventive entity will consist of **Lawrence J. Biess** and **Christer Gotmalm**.

In accordance with the requirements of 37 CFR § 1.48(a), the following documents are attached hereto:

- (1) A statement from Christer Gotmalm who is being added as an inventor that the inventorship error occurred without any deceptive intention on his part.
- (2) A statement from the current named inventor of the above-identified non-provisional patent application agreeing to the change of inventorship.
- (3) A statement from CSX Transportation, Inc., the sole assignee, agreeing to the change of inventorship in the above-identified patent application.

07/22/2002 SDENBOB1 00000098 09773072

01 FC:122

130.00 OP

MATCH & RETURN

Biess -- Appln. No. 09/773,072

(4) A declaration signed by each actual inventor.

(5) A fee of \$130 as set forth in 37 C.F.R. § 1.17(i).

Should that fee be missing or inadequate please charge this fee to our Deposit

Account No. 03-3975 under Order No. 011591/0291685 and proceed to consider this Petition to Correct Inventorship.

Respectfully submitted,

Pillsbury Winthrop LLP

By:

 48,715
William P. Atkins

Reg. No.: 38821

Tel. No.: (703) 905-2007

Fax No.: (703) 905-2500

WPA/CMC:nlh
P.O. Box 10500
McLean, VA 22102

(703) 905-2000

Enclosures: Affidavits
Supplemental Declaration
Consent of Assignee

RECEIVED
JUL 24 2002
TECHNOLOGY CENTER R3700



DECLARATION OF PERSON BEING ADDED

I hereby state that the inventorship error in not naming me in the non-provisional patent application Serial No. 09/773,072 entitled *System and Method for Supplying Auxiliary Power to a Large Diesel Engine* occurred without any deceptive intent on my part.

Inventor: Christer Gotmalm

Signature

Date

Dec 5 2001

Before me personally appeared Christer Gotmalm, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this declaration, and acknowledged that he/she executed the same.

Notary Public

RECEIVED
JUL 24 2002
TECHNOLOGY CENTER R3700

DECLARATION OF CURRENT NAMED INVENTOR

I hereby agree to the change of inventorship to add Christer Gotmalm as an inventor to the non-provisional patent application Serial No. 09/773,072 entitled *System and Method for Supplying Auxiliary Power to a Large Diesel Engine*.

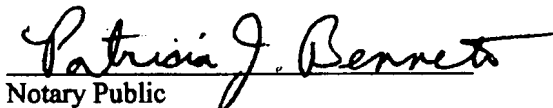
Inventor: Lawrence J. Biess

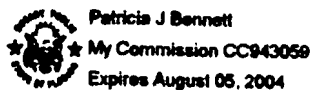

Signature

215-92-3575
Social Security Number

06/19/02
Date

Before me personally appeared Lawrence J. Biess, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this declaration, and acknowledged that he/she executed the same.


Notary Public



RECEIVED

JUL 24 2002

TECHNOLOGY CENTER R3700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE
☐ PATENT ☒ PATENT APPLICATION

OF INVENTOR(S): BIESS

No. 09/773,072

GROUP ART UNIT:

3743

EXAMINER:

Hyder, A.

ISSUED:

FILED:

TITLE:

January 31, 2001

SYSTEM AND METHOD FOR SUPPLYING AUXILIARY POWER TO A LARGE
DIESEL ENGINE

STATEMENT OF OWNERSHIP PER § 3.73
AND
CONSENT OF ASSIGNEE

RECEIVED

JUL 24 2002

TECHNOLOGY CENTER R3700

Hon. Asst. Commissioner of Patents
 Washington, D.C. 20231

Sir:

The undersigned, being the 100% assignee of record of all rights, title and interest in the subject case as evidenced by:

1. ☒ the chain of title from the inventor(s) to the undersigned as recorded on:

1. Date	<u>1/31/01</u>	, Reel	<u>011515</u>	, Frame	<u>0728</u>
2. Date	_____	, Reel	_____	, Frame	_____
3. Date	_____	, Reel	_____	, Frame	_____

and/or as evidenced by:

2. ☐ the attached copy of an Assignment

a. ☐ unrecorded

b. ☐ filed on _____ for recordation in the USPTO.

hereby consents to:

3. ☐ the reissue of the subject patent and offers to surrender the original patent.

4. ☒ changing the statement of inventorship of the subject case by

a. ☒ addition ☐ deletion of the following inventor(s)

LIST

1. <u>Christer Gotmalm</u>	4. _____
2. _____	5. _____
3. _____	6. _____

The undersigned person hereby states that he/she is empowered to sign this document on behalf of assignee.

CSX Transportation, Inc.

Assignee

By

Name:

Title:

Date

RACHEL E. CETERSBACH
CORPORATE SECRETARY

7-17-02

Please type a plus sign (+) inside this box
Docket No.: CSX.0217
Document No.: CSX.016a

PTO/SB/01 (8-96)
Modified

**DECLARATION
OR
UTILITY OR DESIGN
PATENT APPLICATION**

☐ Declaration OR
Submitted
with Initial
Filing

☒ Declaration
Submitted
after Initial
Filing

Attorney Docket No.:	CSX.0217
First Named Inventor:	Lawrence J. Biess
Application No.:	09/773,072
Filing Date:	1/31/01
Group Art Unit	
Examiner Name:	

RECEIVED

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SYSTEM AND METHOD FOR SUPPLYING AUXILIARY POWER TO A LARGE DIESEL
ENGINE**

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on
(MM/DD/YYYY)

01/31/2001

as United States Application Number or PCT International

Application Number:

09/773,072

and was amended on
(MM/DD/YYYY)
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title Code 37 of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YYYY)	
		<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Please type a plus sign (+) inside this box

Docket No.: CSX.0217

Document No.: CSX.016a



PTO/SB/01 (8-96)

Modified

DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of the Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Jeffrey C. Maynard	46,208		

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	Jeffrey C. Maynard				
Address	Draughon Professional Association				
Address	One Independent Drive, Suite 2000				
City	Jacksonville	State	Florida	Zip	32202
Country	USA	Telephone	(904) 358-3777	Fax	(904) 353-6927

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:						<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name	Lawrence	Middle Initial	J	Family Name	Biess	Suffix e.g. Jr.	
Inventor's Signature						Date	6/19/02
Residence: City	Jacksonville	State	FL	Country	USA	Citizenship	USA
Post Office Address	12848 Otter Lake Court West						
City	Jacksonville	State	FL	Zip	32246	Country	USA

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

RECEIVED

JUL 24 2002

TECHNOLOGY CENTER R3700

Please type a plus sign (+) inside this box

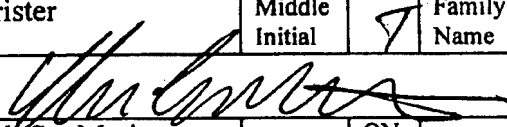
Docket No.: CSX.0217

Document No.: CSX.016a

PTO/SB/01 (8-96)

Modified

DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
--------------------	---

Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name	Christer	Middle Initial	7	Family Name	Gotmalm	Suffix e.g. Jr.	
Inventor's Signature						Date	Dec. 5, 2001
Residence: City	Sault Ste Marie	State	ON	Country	Canada	Citizenship	Canada Swedish
Post Office Address	147 Eagle Drive						
Post Office Address							
City	Sault Ste Marie	State	ON	Zip	P6B 6C7	Country	Canada

Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	

Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
<input type="checkbox"/> Additional inventors are being named on additional supplemental sheet(s) attached hereto.							